

For Hazardous Waste Permit

BUSINESS CONCERN DISCLOSURE STATEMENT FORM I

PART I. IDENTIFICATION DATA

All permit applicants must complete this section.

1. **Firm Name** _____

2. **Past Names of Business Concern.** List all names under which firm has been known or done business in the past.

<u>Name</u>	<u>Used From (year) to (year)</u>
_____	_____
_____	_____
_____	_____

3. **Address of Principal Office.** State the current address where business is actually conducted. Do not give a post office number.

Number and Street _____
City _____ County _____ State _____ Zip Code _____
Mailing Address (if different from street address) _____
City _____ State _____ Zip Code _____

4. **Past Addresses of Firm.** List all addresses of past locations of the business concern's principal office.

<u>Address</u>	<u>Principal Office From (year) To (year)</u>
_____	_____
_____	_____
_____	_____

5. **Firm Telephone Number.** (____) _____ **Firm Fax Number** (____) _____

6. **Federal Employer Identification Number (FEIN).** _____

7. **Form of Business Concern.** Check one:

sole proprietorship trust joint venture corporation partnership limited partnership
 other(describe) _____

8. **Date of Organization.** State when the business concern was established (date of incorporation, partnership agreement, etc.). _____

9. **Facilities Currently Operating in Kansas.** List all locations in the State of Kansas at which the business concern is currently operating any aspect of its solid waste or hazardous waste business*, or is generating hazardous waste (except as a small quantity generator).

<u>Address</u>	<u>Type of Facility</u>	<u>EPA Facility I.D. No. (if any)</u>

10. **Former Facilities in Kansas.** List all locations in the State of Kansas at which the business concern formerly operated any aspect of a solid waste or hazardous waste business*, including any location owned or operated by any predecessor of the business concern, or by any owner, partner, director, officer, key employee, or stockholder owning more than five percent (5%) of equity.

<u>Address</u>	<u>Type of Facility</u>	<u>In Use From (year) To (year)</u>	<u>EPA Facility I.D. No.</u>

11. **Facilities in Other Jurisdictions.** List all locations in any state, district or territory of the United States, other than Kansas, or in any foreign country, at which the business concern is currently operating any aspect of its solid or hazardous waste business.

<u>Address</u>	<u>Type of Facility</u>	<u>EPA Facility I.D. No.(if any)</u>

12. **Former Facilities in Other Jurisdictions.** List all locations in any state, district, or territory of the United States, other than Kansas, or in any foreign country, at which the business concern formerly operated any aspect of a solid or hazardous waste business*, and any location at which such a business was owned or operated by any predecessor of the business concern, or by any owner, partner, director, officer, key employee, or stockholder owning more than five percent (5%) of equity.

<u>Address</u>	<u>Type of Facility</u>	<u>From (year) To (year)</u>	<u>Permit No.</u>	<u>Name of Issuing Agency</u>

PART IA. CORPORATE BUSINESS CONCERN DATA

This section is to be completed only by corporations

1a. **Name of Corporation.** State the complete name of the corporation as filed with the Secretary of State.

2a. **Registered Agent.** Name _____

Address _____ City _____ State _____ Zip Code _____

* Solid or hazardous waste business includes any location or facility where solid or hazardous waste is treated, stored, or disposed of; transfer stations; terminals or business offices of collector/haulers or transporter operations; sanitary landfills; dumps; etc. Any solid or hazardous waste management activities which are no longer permitted or were never under permit are included.

3a. **Past Corporate Names.** List all names by which corporation has been known.

Name

Used From (year) to (year)

4a. **Trade names.** List all names under which the corporation has done business or held itself out to the public as doing business. Include names of divisions, and "trading as" or "doing business as" names.

Name

Used From (year) to (year)

5a. **Date and Place of Incorporation.**

Date

Place (state, etc.)

Certificate of Incorporation File No.

6a. **Authority to do Business in Kansas.** If not a Kansas corporation, state the following facts from the Certificate of Authority to Transact Business in Kansas.

Date _____ Name of Kansas Registered Agent _____

Folder No. _____ Address of Kansas Registered Office _____

7a. **Directors.** Provide the following information about each director of the corporation.

Name

Bus.Address

ElectionDate

Date of Birth

Soc.Sec.No.

8a. **Officers.** Provide the following information about each officer of the corporation.

Name

Bus.Address

Office

Election Date

Date of Birth _____

Soc. Sec. No. _____

9a. **Former Officers and Directors.** Provide the following information about each person who was an officer or director of the corporation at any time during the last ten (10) years and is not listed in Items #7a or #8a above.

Name _____

Last Known Address _____

Position Held _____

Dates in Office _____

Date of Birth _____

Soc. Sec. No. _____

PART IB. PARTNERSHIP/JOINT VENTURE DATA

This section to be completed only by partnerships or joint ventures

1b. **Name.** State the complete name of the partnership or joint venture.

2b. **Form of Entity.** Check one. ___ joint venture ___ general partnership ___ limited partnership

3b. **Participants.** Provide the following information about each partner or joint venturer.

General Partners

Name _____

Bus. Address _____

Positions Held _____

Date of Birth _____

SSN or FEIN _____

Limited Partners

Name _____

Bus. Address _____

Positions Held _____

Date of Birth _____

SSN or FEIN _____

Joint Venturers

Name _____

Bus. Address _____

Positions Held _____

Date of Birth _____

SSN or FEIN _____

PART IC. MISCELLANEOUS BUSINESS CONCERN DATA

Complete this form if the business concern is organized in a form other than a sole proprietorship, corporation, partnership, or joint venture, such as a trust or association.

1c. **Name.** State the complete name of the business concern. _____

2c. **Business Form.** Describe how the business concern is organized and under what legal authority it was established.

3c. **Owners/Officers/Etc.** Provide the following information about each person that owns, controls, or is an officer or trustee of the business concern.

<u>Name</u>	<u>Bus. Address</u>	<u>Position</u>	<u>Date of Birth</u>	<u>SSN or FEIN</u>
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

PART II. OWNERSHIP AND DEBT LIABILITY OF THE BUSINESS CONCERN

All permit applicants must complete this section.

Equity*

13. **Privately Held Concerns.**

a) List all persons** currently holding equity in the business concern.

*Name _____ Bus. Address _____

Birth Date _____ Soc. Sec. No. _____ Equity type _____ % of Equity held _____

* "Equity" means any ownership interest in a business concern, including, but not limited to, sole proprietorship, partner shares, corporate stock, legal or beneficial interest in a trust.

** "person," in this disclosure statement, refers to natural persons, individuals, trustees, legal representatives, or receivers.

*Name _____ Bus. Address _____

Birth Date _____ Soc. Sec. No. _____ Equity type _____ % of Equity held _____

*Name _____ Bus. Address _____

Birth Date _____ Soc. Sec. No. _____ Equity type _____ % of Equity held _____

b) List all business concerns* currently holding any equity in the business concern.

*Name _____ Bus. Address _____

Fed. Employer ID No. _____ Equity type _____ % of Equity held _____

*Name _____ Bus. Address _____

Fed. Employer ID No. _____ Equity type _____ % of Equity held _____

*Name _____ Bus. Address _____

Fed. Employer ID No. _____ Equity type _____ % of Equity held _____

14. **Publicly Traded Corporations.** If the business concern is a publicly traded corporation attach copy of latest Annual Report and Form 10-K filed with the U.S. Securities and Exchange Commission and:

a) Indicate how corporation stock is traded. Listing Symbol _____

_____ NYSE _____ AMEX _____ Over the Counter _____ Other exchanges (list) _____

b) List all persons holding more than five percent (5%) of the total equity of the corporation.

*Name _____ Bus. Address _____

Birth Date _____ Soc. Sec. No. _____ Equity type _____ % of Equity held _____

*Name _____ Bus. Address _____

Birth Date _____ Soc. Sec. No. _____ Equity type _____ % of Equity held _____

*Name _____ Bus. Address _____

Birth Date _____ Soc. Sec. No. _____ Equity type _____ % of Equity held _____

c) List all business concerns currently holding more than five percent (5%) of the total equity in the business concern.

*Name _____ Bus. Address _____

Fed. Employer ID No. _____ Equity type _____ % of Equity held _____

*Name _____ Bus. Address _____

Fed. Employer ID No. _____ Equity type _____ % of Equity held _____

*Name _____ Bus. Address _____

Fed. Employer ID No. _____ Equity type _____ % of Equity held _____

Debt Liability

15. **Debt Liability to Chartered Lending Institutions.** Provide the following information about your debt liability held by state or federally chartered institutions. If you are in doubt whether your lender is chartered, check with your lender or with the banking authority in your state.

*Name _____ Bus. Address _____

Type of Debt _____ Date Created _____ Original Amount _____ Present Balance _____

*Name _____ Bus. Address _____

Type of Debt _____ Date Created _____ Original Amount _____ Present Balance _____

*Name _____ Bus. Address _____

Type of Debt _____ Date Created _____ Original Amount _____ Present Balance _____

16. **Privately Held Concerns.** If the business concern is privately held:

a) List all persons currently holding any debt liability of the business concern.

*Name _____ Bus. Address _____

Type of Debt _____ Date Created _____ Original Amount _____ Present Balance _____

*Name _____ Bus. Address _____

Type of Debt _____ Date Created _____ Original Amount _____ Present Balance _____

*Name _____ Bus. Address _____

Type of Debt _____ Date Created _____ Original Amount _____ Present Balance _____

b) List all business concerns, excluding institutions listed under Item #15, currently holding any debt liability of the business concern.

*Name _____ FEIN _____ Bus. Address _____

Type of Debt _____ Date Created _____ Original Amount _____ Present Balance _____

*Name _____ FEIN _____ Bus. Address _____

Type of Debt _____ Date Created _____ Original Amount _____ Present Balance _____

*Name _____ FEIN _____ Bus. Address _____

Type of Debt _____ Date Created _____ Original Amount _____ Present Balance _____

17. **Publicly Traded Corporations.** If the business concern is a publicly traded corporation:

a) List all persons, excluding institutions listed under Item #15, currently holding more than five percent (5%) of the total debt liability of the corporation.

*Name _____ SSN _____ Bus. Address _____

Type of Debt _____ Date Created _____ Original Amount _____ Present Balance _____

*Name _____ SSN _____ Bus. Address _____

Type of Debt _____ Date Created _____ Original Amount _____ Present Balance _____

*Name _____ SSN _____ Bus. Address _____

Type of Debt _____ Date Created _____ Original Amount _____ Present Balance _____

b) List all business concerns, excluding institutions listed under Item #15, currently holding more than five percent (5%) of the total debt liability of the corporation.

*Name _____ FEIN _____ Bus. Address _____

Type of Debt _____ Date Created _____ Original Amount _____ Present Balance _____

*Name _____ FEIN _____ Bus. Address _____

Type of Debt _____ Date Created _____ Original Amount _____ Present Balance _____

*Name _____ FEIN _____ Bus. Address _____

Type of Debt _____ Date Created _____ Original Amount _____ Present Balance _____

PART III. SUBSIDIARIES AND STOCK HOLDINGS

All permit applicants must complete this section.

18. **Solid or Hazardous Waste Subsidiaries or Interlocks.** Provide the following information about any business concern, in any state, territory, or district of the United States, or in any foreign country, which collects, treats, stores, or disposes of solid or hazardous waste on a commercial basis, in which the business concern holds an equity interest.

*Name _____ Bus. Address _____

Fed. Employer ID No. _____ Equity type _____ % of Equity held _____

*Name _____ Bus. Address _____

Fed. Employer ID No. _____ Equity type _____ % of Equity held _____

*Name _____ Bus. Address _____

Fed. Employer ID No. _____ Equity type _____ % of Equity held _____

19. **Other Subsidiaries and Equity Interests.** Provide the following information about any business concern in which the applicant holds an equity interest that is greater than forty-nine percent (49%) of the total equity of the company whose stock is so owned.

*Name _____ Bus. Address _____

Fed. Employer ID No. _____ Equity type _____ % of Equity held _____

*Name _____ Bus. Address _____

Fed. Employer ID No. _____ Equity type _____ % of Equity held _____

*Name _____ Bus. Address _____

Fed. Employer ID No. _____ Equity type _____ % of Equity held _____

20. **Corporate Family.** This question applies to related companies in any business enterprise; answers should not be limited to companies in the solid or hazardous waste business.

If the business concern is a subsidiary of a parent corporation, is the parent of one or more subsidiaries, or is part of a conglomerate or a group of companies with common ownership: Supply a chart showing the names and relationships of all parent, sister, subsidiary, and affiliate corporations, and/or members of the conglomerate or group. Show ultimate parents.

OR

If the business concern is privately held, or is a publicly traded corporation with more than twenty-five percent (25%) of its stock held by members of the same family: Supply a chart showing all other business concerns owned or controlled by members of that family.

PART IV. EMPLOYEE DATA

All permit applicants must complete this section.

21. **Key Employees.*** Provide the following information about all key employees of the business concern.

*Name _____ Date Hired _____ Position _____

Bus. Address _____ Soc. Sec. No. _____

*Name _____ Date Hired _____ Position _____

Bus. Address _____ Soc. Sec. No. _____

*Name _____ Date Hired _____ Position _____

Bus. Address _____ Soc. Sec. No. _____

22. **Other Employees.** Provide the following information about all employees, other than key employees, who are either:

- a. Employed in the physical or mechanical hazardous waste collection, transportation, storage, or disposal operations that are the subject of the business concern's Kansas permit application; or
- b. Employed as personal staff to any officer or key employee listed in this disclosure statement; or
- c. Employed in an office located at the same site as the operation which is the subject of the business concern's Kansas permit application, if the number of persons employed in that office (excluding officers and key employees) is less than twenty (20) employees.

*Name _____ Date Hired _____ Position _____

Bus. Address _____ Soc. Sec. No. _____

*Name _____ Date Hired _____ Position _____

Bus. Address _____ Soc. Sec. No. _____

*Name _____ Date Hired _____ Position _____

Bus. Address _____ Soc. Sec. No. _____

* "Key employee" means any person employed by a hazardous waste permit applicant in a supervisory capacity with respect to the hazardous waste operations of the business concern in Kansas or empowered to make discretionary decisions with respect to those operations. The term shall not include employees exclusively engaged in the physical or mechanical collection, transportation, treatment, storage, or disposal of hazardous waste.

PART V. FINANCIAL INSTITUTIONS AND FINANCIAL HISTORY

All permit applicants must complete this section.

23. **Bankruptcy or Insolvency.** Provide information below about any petition filed by or against the business concern in the past five (5) years under any provision of the Federal Bankruptcy Act or any state insolvency law.

*Title of Action _____ Court _____ Location _____

Nature of Action _____ Date Filed _____ Status/Disposition _____

*Title of Action _____ Court _____ Location _____

Nature of Action _____ Date Filed _____ Status/Disposition _____

*Title of Action _____ Court _____ Location _____

Nature of Action _____ Date Filed _____ Status/Disposition _____

24. **Receivership.** Provide the following information if any receiver, fiscal agent, trustee, reorganization trustee, or similar officer has been appointed for the business concern by a court within the past five (5) years.

*Name _____ Bus. Address _____

Date Appointed _____ Acted until (date) _____ Appointing Court _____

*Name _____ Bus. Address _____

Date Appointed _____ Acted until (date) _____ Appointing Court _____

*Name _____ Bus. Address _____

Date Appointed _____ Acted until (date) _____ Appointing Court _____

PART VI. EXPERIENCE AND CREDENTIALS

All permit applicants must complete this section.

25. **Business Concern.** Describe the experience and credentials of the business concern in the collection, transportation, treatment, storage, or disposal of solid or hazardous waste.

26. **Owner, Key employees, Directors, Officers, Partners.** Describe the experience and credentials in the solid or hazardous waste business bought by individual members of the business concern.

*Name _____ Experience/Credentials _____

*Name _____ Experience/Credentials _____

*Name _____ Experience/Credentials _____

PART VII. LICENSES AND PERMITS* HELD

All permit applicants must complete this section.

27. **Kansas License or Permit.** Provide the following information about any Kansas Department of Health and Environment or United States Environmental Protection Agency solid waste or hazardous waste license or permit ever held by the business concern under any name.

*Name Held Under _____ Type of License/Permit _____

Facility Location _____ Held From (year) To (year) _____

Facility Type _____ Issuing Agency _____ Reg. No./ EPA ID _____

*Name Held Under _____ Type of License/Permit _____

Facility Location _____ Held From (year) To (year) _____

Facility Type _____ Issuing Agency _____ Reg. No./ EPA ID _____

*Name Held Under _____ Type of License/Permit _____

Facility Location _____ Held From (year) To (year) _____

Facility Type _____ Issuing Agency _____ Reg. No./ EPA ID _____

28. **Out-of-State License or Permit.** Provide the following information about any past or present license or permit for the collection, transportation, treatment, storage, or disposal of solid or hazardous waste held by the business concern under any name in any part of the United States outside of Kansas, or in any foreign country.

*Name Held Under _____ Type of License/Permit _____

Facility Location _____ Held From (year) To (year) _____

Facility Type _____ Issuing Agency _____ Reg. No./ EPA ID _____

*Name Held Under _____ Type of License/Permit _____

Facility Location _____ Held From (year) To (year) _____

Facility Type _____ Issuing Agency _____ Reg. No./ EPA ID _____

*Name Held Under _____ Type of License/Permit _____

Facility Location _____ Held From (year) To (year) _____

Facility Type _____ Issuing Agency _____ Reg. No./ EPA ID _____

PART VII. HISTORY OF CIVIL VIOLATIONS

All permit applicants must complete this section.

29. **Kansas Violation Notices.** List and explain any Notice of Violation, Notice of Prosecution, Administrative Order or Notice of Intent to Deny or Revoke any license or permit issued to you within the past ten (10) years by the Kansas Department of Health and Environment for the alleged violation of any law or regulation pertaining to protection of the environment.

* "License or permit" includes solid waste licenses, registrations, temporary operating authorizations, permits, etc.

*Name of Entity Cited _____ Type of Notice _____ Date Issued _____

Nature of Alleged Violation _____ Location of Alleged Violation _____

Disposition _____ Explanation _____

*Name of Entity Cited _____ Type of Notice _____ Date Issued _____

Nature of Alleged Violation _____ Location of Alleged Violation _____

Disposition _____ Explanation _____

*Name of Entity Cited _____ Type of Notice _____ Date Issued _____

Nature of Alleged Violation _____ Location of Alleged Violation _____

Disposition _____ Explanation _____

30. **Administrative Proceedings.** List and explain any administrative actions of the Kansas Department of Health and Environment against you.

<u>Title of Case</u>	<u>Disposition/Explanation</u>
_____	_____
_____	_____
_____	_____

31. **Federal Violation Notices.** List and explain any Notice of Violation, Notice of Prosecution, Administrative Order or similar citation issued you within the past ten (10) years by the United States Environmental Protection Agency or Department of Transportation for any alleged violation of any federal law or regulation pertaining to protection of the environment.

*Name of Entity Cited _____ Type of Violation _____ Date Issued _____

Nature of Alleged Violation _____ Location of Alleged Violation _____

EPA/DOT Doc. No. (if any) _____ Disposition/Explanation _____

*Name of Entity Cited _____ Type of Violation _____ Date Issued _____

Nature of Alleged Violation _____ Location of Alleged Violation _____

EPA/DOT Doc. No. (if any) _____ Disposition/Explanation _____

*Name of Entity Cited _____ Type of Violation _____ Date Issued _____

Nature of Alleged Violation _____ Location of Alleged Violation _____

EPA/DOT Doc. No. (if any) _____ Disposition/Explanation _____

32. **Federal Administrative Proceedings.** List and explain any administrative actions of the United States Environmental Protection Agency or Department of Transportation against you, which have been the subject of proceedings before an Administrative Law Judge.

*Title of Case _____ Adm. Law Judge _____ Court _____

Docket No. _____ Disposition/Explanation _____

*Title of Case _____ Adm. Law Judge _____ Court _____

Docket No. _____ Disposition/Explanation _____

*Title of Case _____ Adm. Law Judge _____ Court _____

Docket No. _____ Disposition/Explanation _____

33. **Municipalities, Other States, Foreign Countries.** List and explain any Notices of Violation, Notices of Prosecution, Administrative Orders, Notice of Intent to Deny or Revoke a License, citations of any kind, and any similar violation notices issued to you within the past ten (10) years by any municipality, state other than Kansas, or government of any foreign country, for any alleged violations of any law, regulation, or ordinance pertaining to protection of the environment, other than a motor vehicle or littering offense.

*Name of Entity Cited _____ Type of Notice _____ Date Issued _____

Nature of Alleged Violation _____ Location of Alleged Violation _____

Disposition _____ Explanation _____

*Name of Entity Cited _____ Type of Notice _____ Date Issued _____

Nature of Alleged Violation _____ Location of Alleged Violation _____

Disposition _____ Explanation _____

*Name of Entity Cited _____ Type of Notice _____ Date Issued _____

Nature of Alleged Violation _____ Location of Alleged Violation _____

Disposition _____ Explanation _____

34. **Out-of-State Administrative Proceedings.** List and Explain any citation for alleged violation of environmental protection laws or regulations in any jurisdiction other than Kansas or the federal system, which have been the subject of proceedings before an administrative tribunal.

*Title of Case _____ Docket No. _____ Tribunal Address _____

Hearing Officer _____ Disposition/Explanation _____

*Title of Case _____ Docket No. _____ Tribunal Address _____

Hearing Officer _____ Disposition/Explanation _____

*Title of Case _____ Docket No. _____ Tribunal Address _____

Hearing Officer _____ Disposition/Explanation _____

35. **Civil Court Litigation.** List and explain any alleged violations of environmental protection laws or regulations in any jurisdiction which have been the subject of proceedings before a civil court involving a subsidiary, key employee, officer, director, etc or any individual with 5% or greater control is applicant. List in the following order: Kansas cases, federal cases, cases in other states, cases in foreign countries. Include final administrative orders, administrative consent orders, court orders, court decrees, consent adjudications, judicial consent orders, final civil penalty adjudications, final action on bond forfeiture, settlement agreement, contempt adjudications, and judgments. Consider a determination "final" if it has been entered with consent, constitutes final agency action, or has been entered by a court, even if it is on appeal.

*Title of Case _____ Docket No. _____ Court _____

Court Location _____ Disposition/Explanation _____

*Title of Case _____ Docket No. _____ Court _____

Court Location _____ Disposition/Explanation _____

*Title of Case _____ Docket No. _____ Court _____

Court Location _____ Disposition/Explanation _____

36. **Antitrust Judgments.** List and explain any judgments, consent decrees, or consent orders, pertaining to a violation or alleged violation of federal or state antitrust, trade regulation, or securities regulation laws, entered against the business concern or any key employee, officer, director, partner, or holder of more than five percent (5%) of the equity in the business concern.

*Title of Case _____ Docket No. _____ Date of Decision _____

Court/Agency Name _____ Location _____

Nature of Order _____ Explanation _____

*Title of Case _____ Docket No. _____ Date of Decision _____

Court/Agency Name _____ Location _____

Nature of Order _____ Explanation _____

37. **Other Judgements.** List and explain any judgment of liability (not listed in Item # 36) rendered in the past ten (10) years against the business concern or any key employee, officer, director, partner, or holder of more than five (5%) percent of the equity in the business concern.

*Title of Case _____ Docket No. _____ Nature of Suit _____

Name & Location of Court _____

Date Filed _____ Amount of Judgment _____ Explanation _____

*Title of Case _____ Docket No. _____ Nature of Suit _____

Name & Location of Court _____

Date Filed _____ Amount of Judgment _____ Explanation _____

38. **Pending Suits.** List and explain any civil suits in which the business concern is presently involved as a party plaintiff or defendant.

*Title of Case _____ Docket No. _____ Nature of Suit _____

Name & Location of Court _____

Date Filed _____ Status _____ Explanation _____

*Title of Case _____ Docket No. _____ Nature of Suit _____

Name & Location of Court _____

Date Filed _____ Status _____ Explanation _____

VIII. CRIMINAL PROCEEDINGS

All permit applicants must complete this section.

39. **Convictions.** List and explain any conviction against the business concern or against any key employee, officer, director, partner, or holder of more than five percent (5%) of the equity in the business concern, for any crime or disorderly persons offense involving environmental laws or regulations committed in Kansas or any other state, federal, or foreign jurisdiction.

*Name of Person/Entity _____ Describe Offense _____

Indictment/Information No. _____ Jurisdiction _____ Date Charged _____

*Name of Person/Entity _____ Describe Offense _____

Indictment/Information No. _____ Jurisdiction _____ Date Charged _____

*Name of Person/Entity _____ Describe Offense _____

Indictment/Information No. _____ Jurisdiction _____ Date Charged _____

IMPORTANT: THIS DOCUMENT WILL NOT BE CONSIDERED UNLESS COMPLETED IN FULL AND SIGNED

40. **Affidavit of Author.**

I hereby certify that I am the person who filled out or directed the filling out of the attached Business Concern Disclosure Statement in the name of the business concern.

I further certify that, as proprietor, partner, or corporate officer of the business concern named in Item #1 of this application, I have authority to sign and submit this application; and that the statements contained therein are true and correct to the best of my knowledge.

Firm Name

Signature of Owner or Authorized Representative

Subscribed and sworn to before me this _____ day of _____ 20 _____

My Commission expires _____

Notary Public

SEAL

41. **Certifications.** This Business Disclosure Statement must be signed and certified below by the following officials of the business concern.

*Corporations: President, Chairman of the Board or CEO, secretary, and treasurer.

*Partnerships: All partners (general partners only in limited partnerships).

*Sole Proprietors: The owner.

*Any Other Business Form: Chief executive officer, secretary, and treasurer.

I hereby certify that I have examined the attached Business Disclosure Statement and that no statement or information contained herein is false, to the best of my knowledge. I am aware that I am subject to punishment if the foregoing statement made by me is willfully false.

Date _____ Signature _____

Type or Print Name and Title

Date _____ Signature _____

Type or Print Name and Title

Date _____ Signature _____

Type or Print Name and Title

Date _____ Signature _____

Type or Print Name and Title

Date _____ Signature _____

Type or Print Name and Title

APPLICATION INSTRUCTIONS

1. **WHO MUST COMPLETE THIS FORM.** Every applicant for or holder of a Kansas Department of Health and Environment (KDHE) hazardous waste permit who is required to file a disclosure statement must complete this form pursuant to K.S.A. 65-3431.
2. **WHO SHOULD FILL OUT THIS FORM.** This form may be completed by an authorized representative of the business concern named in Item #1, or by a representative of the business concern applying for or holding a KDHE hazardous waste permit. The author must sign and swear or affirm the truth of the Business Disclosure Statement to the best of his/her knowledge.
3. **ALL QUESTIONS MUST BE ANSWERED.** Read every question carefully before answering. Answer every question completely. Do not leave any blank spaces. If a question does not apply to you, enter "N/A" (not applicable) in the space provided. If there is nothing to disclose in answer to a particular question, enter "None" in the space provided.
4. **ANSWER COMPLETELY AND TRUTHFULLY.** Failure to answer any question completely may result in this Business Disclosure Statement being returned to you for supplementation of your answer.
5. **ADDITIONAL SPACE.** If you need additional space to answer a question, use plain 8½" x 11" paper.
6. **PAGE NUMBERING.** Note that each page contains an area for numbering pages. When you have finished answering all questions and have included all additional pages, number each page consecutively.
7. **EXHIBITS.** If you are required or wish to submit any document in connection with your answer to any question, refer to it in your answer as "Exhibit No. ___", and attach it at the end of your submission.
8. **TYPE OR PRINT YOUR ANSWERS.** Type or print in legible block letter style. Handwritten forms will be returned if entries are in script or are unreadable. Please do not use script typeface.

**WARNING:
FRAUDULENT, DECEPTIVE, OR MISLEADING ANSWERS
MAY RESULT IN THE DENIAL OR REVOCATION OF YOUR PERMIT**

Be especially careful not to leave out information in a way that might create an impression that you are trying to hide it. For example, a minor criminal conviction will probably not disqualify your firm from being permitted - but attempting to conceal the conviction may lead to a finding of untrustworthiness, and result in disqualification. Omitting such information from this form, even unintentionally, may result in your trustworthiness being questioned. Even if the question is resolved in your favor, your application may be delayed while the inquiry goes forward.

If you are unsure of, or do not remember the answer to a question, indicate this in some way - for example, by writing "Do not remember". This may result in additional inquiries from KDHE but it will avoid the implication that you are trying to conceal information.

However, you should not answer "Do not remember", or with similar wording simply because the information may not be immediately at hand. You are expected to make reasonable efforts to review your records so that you can answer all questions completely.